

**MINISTRY OF FINANCE OF THE REPUBLIC OF INDONESIA  
CAPITAL MARKET AND FINANCIAL INSTITUTIONS SUPERVISORY AGENCY**

**DUPLICATE OF**

**DECISION OF CHAIRPERSON  
OF CAPITAL MARKET AND FINANCIAL INSTITUTIONS SUPERVISORY  
AGENCY**

**NUMBER: KEP - 317/BL/2008**

**CONCERNING**

**REPORTS FROM SECURITIES ADMINISTRATION AGENCIES AND  
COMPANIES THAT PERFORM THEIR OWN SECURITIES  
ADMINISTRATION**

**CHAIRPERSON OF THE CAPITAL MARKET  
AND FINANCIAL INSTITUTIONS SUPERVISORY AGENCY,**

- Considering :
- That in harmonizing capital market needs and supervisory enhancement, it is necessary to upgrade Rule Number X.H.1, Attachment Decision of Chairperson of Bapepam Number: Kep-75/PM/1996 concerning Reports From Securities Administration Agencies And Companies That Perform Their Own Securities Administration by enacting a new Decision of Chairperson of Bapepam and LK;
- In view of :
1. Law Number 8 year 1995 concerning the Capital Market (Statute Book year 1995 number 64, Supplement to the Statute Book Number 3608);
  2. Government Regulation Number 45 year 1995 concerning Capital Market Organization (Statute Book Year 1995 Number 86, Supplement to Statute Book Number 3617) as revised by Government Regulation Number 12 year 2004 (Statute Book Year 2004 Number 27, Supplement to Statute Book Number 4372);
  3. Government Regulation Number 46 year 1995 concerning Capital Market Formal Investigative Procedures (Statute Book year 1995 Number 87, Supplement to Statute Book Number 3618);
  4. Government Regulation Number 81 year 2007 concerning Reduction of the Rate of Income Tax on Resident Corporate Taxpayers in The Form of Public-Listed Company (Statute Book year 2007 Number 170, Supplement to Statute Book Number 4798);
  5. Decree of the President of the Republic of Indonesia Number 45/M year 2006;

**HAS DECIDED:**

To enact : **DECISION OF THE CHAIRPERSON OF THE CAPITAL MARKET AND FINANCIAL INSTITUTIONS SUPERVISORY AGENCY CONCERNING FROM SECURITIES ADMINISTRATION AGENCIES AND COMPANIES THAT PERFORM THEIR OWN SECURITIES ADMINISTRATION**

**Article 1**

Provision concerning Securities Administration Agencies and Companies that Perform Their Own Securities Administration is regulated in Rule Number X.H.1 as stipulated in the attachment of this Decision

**Article 2**

Obligation to submit a monthly report of ownership of the Issuer's or Public Company's shares and reported recapitulation referred in item 1 letter e shall become effective since January 2008, with conditions for the report from January to August 2008 must be submitted to Bapepam and LK no later than the 15<sup>th</sup> (fifteenth) day of September 2008.

**Article 3**

For Securities Administration Agencies that is appointed by Issuers and Public Companies in 2008, after the effectiveness of this Decree, the obligation to submit the report referred to in Article 2 is still in force since January 2008, yet for the report from January to the month of appointment must be submitted to Bapepam and LK no later than the 15<sup>th</sup> (fifteenth) day of the following month after the appointment.

**Article 4**

With the enactment of this Decision, Decision of Chairperson of Bapepam Number: Kep-75/PM/1996 dated 17 Januari 1996 concerning Reports From Securities Administration Agencies and Companies that

Perform Their Own Securities Administration is declared revoked and become ineffective.

**Article 5**

This Decision shall become effective since the date of its promulgation.

In order that all may be informed, it is ordered that this Decision be proclaimed by publication in the State Gazette of the Republic of Indonesia.

Stipulated in : Jakarta

Date : 6 August 2008

Chairperson of Capital Market and  
Financial Institutions Supervisory Agency

signed

**A. Fuad Rahmany**

NIP. 060063058

Based on the original documents  
Head General Affairs Division

Signed

**Prasetyo Wahyu Adi Suryo**

NIP 060076008

Attachment :  
Decision of Chairperson of Bapepam &  
LK  
Number : Kep- 317/BL/2008  
Date : 6 August 2008

**RULE NUMBER X.H.1 : REPORTS FROM SECURITIES ADMINISTRATION AGENCIES AND COMPANIES THAT PERFORM THEIR OWN SECURITIES ADMINISTRATION**

1. Securities Administration Agencies and Issuers or Public Companies that perform their own securities administration activities must submit the following reports to Bapepam & LK in two (2) copies including:
  - a. monthly operating report;
  - b. an annual operating report audited by an accountant registered with Bapepam & LK;
  - c. annual financial reports of Securities Administration Agencies;
  - d. reports on important events, such as:
    - i) the registration of the ownership of persons that own 5% (five percent) or more and each change in such Persons' ownership of the Issuer's or Public Company's shares, using Form Number XH.1-1 of this rule; and
    - ii) the implementation of the General Meeting of Shareholders and amendments to the articles of association of the Securities Administration Agency; and
  - e. Monthly report of the ownership of the Issuer's or Public Company's shares and reported recapitulation.
2. The reports mentioned in item 1 letter c and letter d point 2) merely applied to Securities Administration Agencies
3. The operating reports mentioned in item 1 letter a and letter b, must contain at least the following:
  - a. registration activities report, using Form Number X.H.1-2 of this rule;
  - b. a table showing the composition of securities ownership, according to investors' status as, individuals or institutions, domestic or foreign, which is separated into standard and non-standard trading units, using Form Number X.H.1-3 of this rule;
  - c. a table showing the composition of securities certificate denominations, using Form Number X.H.1-4 of this rule; and
  - d. a table showing the distribution of securities ownership, using Form Number X.H.1-5 of this rule.
4. The monthly report of the ownership of the Issuer's or Public Company's shares and reported recapitulation referred in item 1 letter e, using Form Number X.H.1-6 of this rule.
5. The report referred in item 1 letter a and item 1 letter e of this rule must be submitted to Bapepam & LK no later than the 15<sup>th</sup> (fifteenth) day of the following month.
6. The report referred in item 1 letter b of this rule must be submitted to Bapepam & LK no later than the last day of the third month after the annual report date.

7. The annual financial statements, referred in item 1 letter c, must be submitted to Bapepam & LK no later than the last day of the third month after the annual financial statement date.
8. If a Public Accountant gives other than an unqualified opinion on the reports referred to in item 7, Bapepam & LK may summon the directors or conduct an inspection to obtain further clarification.
9. Reports concerning the ownership and the changes of ownership of an issuer's or public company's shares, referred in item 1 letter d point 1) of this rule, must be submitted to Bapepam & LK no later than ten (10) working days after the registration date.
10. If the scheduled submission day is a holiday, the report must be submitted to Bapepam & LK on the following working day.
11. The report referred in item 1 letter d point 2 of this rule, must be submitted to Bapepam & LK no later than two (2) days after the date of the Securities Administration Agency's General Meeting of Shareholders.
12. By no means undermining criminal stipulation in capital market, Bapepam may impose sanctions on any violations of this rule, as well as any Person that causes the violation to occur.

Stipulated in : Jakarta  
Date : 6 August 2008  
Chairperson of Capital Market and Financial  
Institutions Supervisory Agency

**A. Fuad Rahmany**  
NIP. 060063058

Copied similar to the original document  
Head of Division of General Affairs

**Prasetyo Wahyu Adi Suryo**  
NIP. 060076008

ATTACHMENT : 1  
 Rule Number : X.H.1

FORM NUMBER : X.H.1-1

**SECURITIES OWNERSHIP REPORT OF PERSONS THAT OWN 5% OR MORE  
 OF THE ISSUED AND FULLY PAID CAPITAL**

Issuers : .....  
 BAE : .....  
 Date : .....

No	Name	Address	State	Status (A/I)	Registration Date	Number of Shares	% of Ownership
Total							

..... 20....  
 PT .....

.....  
 (Full Name & Position)

FORM NUMBER : X.H.1-2

ATTACHMENT : 2  
 Rule Number : X.H.1

**REGISTRATION ACTIVITIES REPORT**

**Issuers** : .....  
**BAE** : .....  
**Date** : .....

No	Activities	Prior		Current		Change (increasing/decreasing)
		Number	Unit	Number	Unit	
I	Ownership A. Foreign Investors B. Domestic Investors C. 5% of Issued Capital					
II	Movement/Changes A. Entitlement Change B. Splitting C. Merger					
III	Others A. Application of Lost Shares Replacement B. Issuance of shares Replacement C. Dividend/Bonus/Right Claims D. Settlement of Claims					

....., ..... 20....  
 PT .....

.....  
 (Full Name & Position)

**ATTACHMENT : 3**  
**Rule Number : X.H.1**

**FORM NUMBER : X.H.1-3**

**COMPOSITION OF SECURITIES OWNERSHIP**

**Issuers** : .....  
**BAE** : .....  
**Date** : .....  
**Types of Securities** : .....

NO	OWNERS STATUS	OWNERSHIP IN STANDARD TRADING UNITS			OWNERSHIP IN NON-STANDARD TRADING UNITS			TOTAL		
		Number of securities holders	Number of Securities	% of Ownership	Number of securities holders	Number of Securities	% of Ownership	Number of securities holders	Number of Securities	% of Ownership
	<b>Indonesian Investors</b>									
1.	Individuals									
2.	Pension Fund									
3.	Insurance									
4.	Limited Company									
5.	Others									
	SUB TOTAL									
	<b>Foreign Investors</b>									
1.	Individuals									
2.	Institutions									
3.	Others									
	SUB TOTAL									
	TOTAL									

..... 20....  
PT .....



.....  
(Full Name & Position)

**FORM NUMBER : X.H.1-4**

**ATTACHMENT : 4**  
**Rule Number : X.H.1**

**COMPOSITION OF SECURITIES CERTIFICATE DENOMINATIONS**

Issuers : .....  
Date : .....

NUMBER	DENOMINATION SSK	NUMBER OF SSK	TOTAL SECURITIES
TOTAL			

....., ..... 20....  
PT .....

.....  
(Full Name & Position)

**FORM NUMBER : X.H.1-5**

**ATTACHMENT : 5**  
**Rule Number : X.H.1**

**DISTRIBUTION OF SECURITIES OWNERSHIP**

**Issuers : .....**  
**Date : .....**

No	District	Number of Shareholders							No	District	Number of Securities							per cent age
		individua ls	institutio ns	Mutu al fund	Forei gn indivi duals	Forei gn instit utions	others	Total			indivi duals	institut ions	Mutu al fund	Foreign individua ls	Foreign institutio ns	other s	Total	
TOTAL PERCENTAGE																		

..... 20....  
 PT .....

.....  
 (Full Name & Position)

**ATTACHMENT : 6**  
**RULE NUMBER : X.H.1**

**FORM NUMBER : X.H.1-6**

**MONTHLY REPORT OF OWNERSHIP OF THE ISSUER'S OR  
PUBLIC COMPANY'S SHARES AND REPORTED RECAPITULATION**

**Issuers or Public Companies** : .....  
**BAE** : .....  
**Month \*) and Year** : .....

Position at End of Month									The lowest ownership on the related month	
Month	CAPITAL		Shareholders that own ≥ 5%			Shareholders that own < 5%			Number Shareholders that own <5%	Percentage of ownership < 5%
	Authorized (number of shares)	Issued (number of shares)	Number of shareholders	Number of shares	% of ownership	Number of shareholders	Number of shares	% of ownership		
1	2	3	4	5	6	7	8	9	10	11
January										
February										
March										
April										
May										
June										
July										
August										
September										
October										
November										
December										

City, Date/Month/Years  
PT .....(BAE/Issuers/PP)

Note:  
\*) fill in *current reporting month*  
The report must contains current reporting data, and all reported data

.....  
(Full Name & Position)