

**MINISTRY OF FINANCE OF THE REPUBLIC OF INDONESIA
CAPITAL MARKET AND FINANCIAL INSTITUTIONS SUPERVISORY AGENCY**

**DUPLICATE OF
DECISION OF THE CHAIRMAN OF CAPITAL MARKET AND FINANCIAL
INSTITUTIONS SUPERVISORY AGENCY
NUMBER: KEP-283/BL/2012**

**CONCERNING
INVESTMENT MANAGER MONTHLY ACTIVITIES REPORTS**

**CHAIRMAN OF CAPITAL MARKET AND FINANCIAL INSTITUTIONS
SUPERVISORY AGENCY,**

- Considering :
- a. That Investment Manager activities including management of collective and individual customer funds in increasing, both in the number of funds under management and in the number of customers;
 - b. That the increased activities as referred to in letter a needs to be accompanied by increased reporting effectiveness and Investment Manager activities report quality;
 - c. That the increased reporting effectiveness of the Investment Manager activities reports may utilize electronic means (internet), with regard to its safety and reliability;
 - d. That based on the above considerations, it is deemed necessary to revise Rule Number X.N.1, Attachment of Decision of the Chairman of Capital Market and Financial Institutions Supervisory Agency Number Kep-347/BL/2008 concerning Investment Manager Monthly Activities Reports, by enacting new Decision of the Capital Market and Financial Institutions Supervisory Agency;
- In view of :
1. Law Number 8 Year 1995 concerning the Capital Market (State Gazette Year 1995 Number 64, Supplement to the State Gazette Number 3608);
 2. Government Regulation Number 45 Year 1995 concerning Capital Market Organization (State Gazette Year 1995 Number 86, Supplement to State Gazette Number 3617) as revised by Government Regulation Number 12 Year 2004 (State Gazette Year 2004 Number 27, Supplement to State Gazette Number 4372);

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3. Government Regulation Number 46 Year 1995 concerning Procedures for Formal Investigation in the Capital Market (State Gazette Year 1995 Number 87, Supplement to State Gazette Number 3618);
4. Decree of the President of the Republic of Indonesia Number 20/M Year 2011;

HAS DECIDED:

To enact : **DECISION OF THE CHAIRMAN OF CAPITAL MARKET AND FINANCIAL INSTITUTIONS SUPERVISORY AGENCY CONCERNING INVESTMENT MANAGER MONTHLY ACTIVITIES REPORTS.**

Article 1

Provision concerning Investment Manager Monthly Activities Reports is regulated in Rule Number X.N.1 as stipulated in the attachment of this Decision.

Article 2

With the enactment of this Decision:

- A. Provisions number 2 until 5 of rule of the Capital Market Supervisory Agency Number II.A.3, Attachment of Decision of the Chairman of Capital Market Supervisory Agency Number Kep-41/PM/1997 dated 26 December 1997 concerning Letters, Reports and Other Documents that are Sent to the Capital Market Supervisory Agency, is declared not applicable for submission of the Investment Manager monthly activities reports, since the date of expiration of the implementation trial period of Rule Number X.N.1 Attachment of this Decision and/or since the Capital Market and Financial Institutions Supervisory Agency does not declare and

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announce that electronic system utilized for submitting the Investment Manager monthly activities reports is impaired and cannot be used; and

- B. Rule Number X.N.1 Attachment of Decision of the Chairman of Capital Market and Financial Institutions Supervisory Agency Number Kep-347/BL/2008 dated 13 August 2008 concerning Investment Manager Monthly Activities Reports is declared revoked and not applicable.

Article 3

- (1) Investment Manager who acquired business license from the Capital Market and Financial Institutions Supervisory Agency before this Decision becomes effective, must perform a trial to submit the Investment Manager monthly activities reports electronically as regulated in Rule Number X.N.1 Attachment of this Decision within 3 (three) reporting periods after the enactment of this Decision.
- (2) During the trial period as referred to the point (1), the Investment Manager must submit the monthly activities reports as regulated in Rule Number X.N.1 Attachment of this Decision to the Capital Market and Financial Institutions Supervisory Agency in hard copy, which in line with Rule of the Capital Market Supervisory Agency Number II.A.3 Attachment of Decision of the Chairman of Capital Market Supervisory Agency Number Kep-41/PM/1997 dated 26 December 1997 concerning Letters, Reports and Other Documents that are Sent to the Capital Market Supervisory Agency.

Article 4

With the enactment of this Decision, provision number 7 letter c of rule of the Capital Market Supervisory Agency Number II.A.1, Attachment of Decision of the Chairman of Capital Market Supervisory Agency Number Kep-39/PM/1997 concerning Public Documents that Open to the Public is not applicable.

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Article 5

This Decision shall become effective since the date of its enactment.

Enacted in : Jakarta
Date : 24 May 2012

Chairman of Capital Market and
Financial Institutions Supervisory Agency

Signed

Nurhaida
NIP 19590627 198902 2 001

Based on the original documents
Head of General Affairs Division

Signed

Prasetyo Wahyu Adi Suryo
NIP 195710281985121001

ATTACHMENT

Decision of the Chairman of
Capital Market and Financial
Institutions Supervisory Agency
Number : Kep-283/BL/2012
Date : 24 May 2012

RULE NUMBER X.N.1 : INVESTMENT MANAGER MONTHLY ACTIVITIES REPORTS

1. Every Investment Manager must submit monthly activities reports to the Capital Market and Financial Institutions Supervisory Agency (CMFISA).
2. The monthly activities reports as referred to in number 1 must be prepared using Form Number X.N.1-1 attachment of this Rule.
3. The monthly activities reports as referred to the number 1 must be submitted through electronic system to the CMFISA no later than on the twelfth of the following month. If the twelfth of the following month is a holiday, the monthly activities reports must be submitted on the following working day.
4. The electronic system as referred to the number 3 can be accessed by the Investment Manager by entering report data in the available form on <http://aria.bapepam.go.id/> by first fulfilling member ID, user ID, and password in login form.
The member ID, user ID, and password are issued by the CMFISA to the Investment Manager.
5. The Investment Manager must keep electronic proof of acceptance of the monthly activities reports from the appointed system by the CMFISA and electronic documents of the monthly activities reports for at least 10 (ten) years.
6. The monthly activities reports as referred to in number 1 is considered accepted by the CMFISA based on minute, hour, date, month and year on the display of electronic proof of acceptance of the monthly activities reports as referred to in number 4 above.
7. In case of the CMFISA declares and announces that the electronic system as referred to in number 3 is impaired and cannot be used, the Investment Manager monthly activities reports must be submitted according to Rule Number II.A.3 concerning Letters, Reports and Other Documents that are Submitted to the Capital Market Supervisory Agency.

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Number : Kep-283/BL/2012
Date : 24 May 2012

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8. The Investment Manager monthly activities reports submitted to the CMFISA for fulfilling this Rule is not available to the public, and used only for supervising purposes by the CMFISA.
9. Without prejudice to criminal provisions in the Capital Market, the CMFISA may impose sanctions on any violation of this rule, including on any party causing the violation.

Enacted in : Jakarta
Date : 24 May 2012
Chairman of Capital Market and
Financial Institutions Supervisory Agency

Signed

Nurhaida
NIP 19590627 198902 2 001

Based on the original documents
Head of General Affairs Division

Signed

Prasetyo Wahyu Adi Suryo
NIP 195710281985121001

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ATTACHMENT

Rule Number : X.N.1

FORM NUMBER: X.N.1-1

INVESTMENT MANAGER MONTHLY ACTIVITIES REPORTS

Month:

A. Management Services

- 1. INVESTMENT FUND : (filled with the Investment Fund name)**
CUSTODIAN BANK : (filled with the Custodian Bank name)

CLIENTS	DOMESTIC CLIENTS		FOREIGN CLIENTS	
	Total	Fund Under Management (Rp)	Total	Fund Under Management (Rp)
Individuals:				
Institutions:				
1. Securities Companies				
2. Pension Funds				
3. Insurances				
4. Banks				
5. Private Companies/Joint Ventures				
6. State-Owned Enterprises				
7. Local Government-Owned Enterprises				
8. Foundations				
9. Cooperations				
10. Others, please specify				
Total Institutions				
Total Individuals and Institutions				
Domestic Investments (%)				
Foreign Investments (%)				

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2. BILATERAL AND INDIVIDUAL FUND MANAGEMENT

No	Client Name		No & Date of Contract	Maturity Date	No & Date of Addendum Contract (if any)	Initial Investment Value		Ending Investment Value (Net)		Securities Portfolio									Deposits Value	Investment Value (Gross) ((16) or (18) x (19))	Explanation
						(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)	(19)			
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)	(19)	(20)	(21)	(22)

Table Explanations:

- | | |
|--|--|
| 1. Number | : Filled with number. |
| 2. Name of Individual Client | : Filled with individual client name. |
| 3. Name of Institutional Client | : Filled with institutional client name. |
| 4. Number and Date of Contract | : Filled with client contract number and date. |
| 5. Maturity Date | : Filled with contract maturity/contract ending date. |
| 6. Number and Date of Addendum Contract (if any) | : Filled with client contract number and date if there are addendum/changes. |
| 7. Initial Investment Value (IDR) | : Filled with total of initial investment value in IDR according with the contract. |
| 8. Initial Investment Value (Foreign Currency) | : Filled with total of initial investment value in foreign currency according to the contract. |
| 9. Ending Investment Value (Net) (IDR) | : Filled with total of ending investment value less expenses in IDR. |
| 10. Ending Investment Value (Net) (Foreign Currency) | : Filled with total of ending investment value less expenses in foreign currency. |

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11. Securities Type : Filled with securities in the securities portfolio management of the contract. For example: Shares, Treasury Notes, Government Bonds, Government Sharia Securities, Corporate Bonds, Investment Funds, Medium Term Notes, Promissory Notes, Central Bank of Indonesia Certificates, Commercial Papers, Warrants, etc.
12. Securities Name : Filled with purchased securities name, for example: "Shares of PT A", "Bonds of PT B", etc.
13. Domestic (DN)/Foreign (LN) : Filled with the purchased securities category, (DN) if it is domestic securities and (LN) if it is foreign securities.
14. Issuer : Filled with securities issuer of the purchased securities. For example: Government, PT A, etc.
15. Securities Maturity (if any) in months & year : Filled with maturity explanation/purchased securities maturity date (if any).
16. Securities Amount : Filled with amount of purchased securities (in sheet numbers, participation units, etc.)
17. Purchase Value : Filled with securities purchase value.
18. Nominal Value : Filled with purchased securities nominal value (for example: Bonds).
19. Fair Market Price : Filled with fair market price of the purchased securities.
20. Deposits Value : Filled with total amount that is allocated to Cash, Time Deposits, Certificates of Deposits, and Deposits on Call.
21. Investment Value (Gross) : Filled with purchased securities value, that equals: number of securities or nominal value x fair market price, or deposits value (for cash and or deposits types).
22. Explanation : Filled with other explanation.

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3. ASSETS-BACKED SECURITIES (ABS) : (filled with ABS amount)

CLIENT	DOMESTIC CLIENT		FOREIGN CLIENT		CUSTODIAN NAME
	Total	Fund Under Management (Rp)	Total	Fund Under Management (Rp)	
Individuals:					
Institutions:					
1. Securities Companies					
2. Pension Funds					
3. Insurances					
4. Banks					
5. Private Companies/Joint Ventures					
6. State-Owned Enterprises					
7. Local Government-Owned Enterprises					
8. Foundations					
9. Cooperations					
10. Others, please specify					
Total Institutions					
Total Individuals and Institutions					
Domestic Investments (%)					
Foreign Investments (%)					

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4. OTHER PRODUCTS, Please specify

CLIENT	DOMESTIC CLIENT		FOREIGN CLIENT		CUSTODIAN NAME
	Total	Fund Under Management (Rp)	Total	Fund Under Management (Rp)	
Individuals:					
Institutions:					
1. Securities Companies					
2. Pension Funds					
3. Insurances					
4. Banks					
5. Private Companies/Joint Ventures					
6. State-Owned Enterprises					
7. Local Government-Owned Enterprises					
8. Foundations					
9. Cooperations					
10. Others, please specify					
Total Institutions					
Total Individuals and Institutions					
Domestic Investments (%)					
Foreign Investments (%)					

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B. List of Directors, Function Coordinators, and Employees who have Securities Company Representatives and Investment Fund Marketing Agent Representatives

No.	Name	Position	Number and Date of Decree	Starting Date of Employment

(Place & Date)
(Company Name of Investment Manager)

Signed

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(full name)
Director