

**RULE NUMBER X.B.1 : CLEARING GUARANTEE INSTITUTION REPORTS**

---

Attachment :  
Decision of the Chairman of Bapepam  
Number : Kep-66/PM/1996  
Date : January 17, 1996

1. A Clearing Guarantee Institution must submit activity reports to Bapepam in 4 (four) copies including:
  - a. daily-reports on clearing and guarantee activities;
  - b. monthly reports that contain:
    - 1) a recapitulation of activities during the period with statistical data regarding the volume of clearing and guarantee activity;
    - 2) reports on Members of Securities Exchanges that become members of the Clearing Guarantee Institution; and
    - 3) activities of Clearing Guarantee Institution users.
  - c. semi annual and annual financial statements audited by an Accountant registered with Bapepam including an opinion from the Accountant;
  - d. reports of performance with respect to the budget and profit utilization plan;
  - e. reports of General Meetings of Shareholders;
  - f. reports regarding changes in the status of Clearing Guarantee Institution users;
  - g. reports of sanctions imposed by the Clearing Guarantee Institution on its users; and
  - h. reports of special conditions such as financial difficulties of Clearing Guarantee Institution users.
2. The daily reports on clearing and guarantee activities referred to in item 1 letter a of this rule must be submitted to Bapepam no later than the next work day.
3. The report referred to in item 1 letter b of this rule must include the number and type of cleared and guaranteed Securities, the number of transaction settlements guaranteed, and other information required by Bapepam with respect to its functions as a Clearing Guarantee Institution, and must be submitted to Bapepam no later than the 12th day of the following month.
4. The reports referred to in item 1 letter c of this rule include:
  - a. semi annual financial statements that must be submitted to Bapepam within 60 (sixty) days after the last day of the period;
  - b. an annual financial statement that must be submitted to Bapepam within 90 (ninety) days

All rules published are translated from the original documents. If there is any hesitation regarding the rules, please refer to the original documents

after the last day of the fiscal year;

- c. in the event that the Accountant gives other than an unqualified opinion to the statements referred to in letters a and b, Bapepam may call directors and or perform an inspection to obtain further information; and
  - d. the financial statements referred to in letters a and b must be announced in at least two Indonesian news papers, one of which has a national circulation, within 30 (thirty) days after the Accountant report.
5. The report on budget performance referred to in item 1 letter d of this rule, must be submitted to Bapepam on a quarterly basis, through the board of commissioners. The report must be received by Bapepam within 12 (twelve) days after the end of the period.
  6. Reports on the General Meeting of Shareholders referred to in item 1 letter e of this rule must be submitted to Bapepam, within 2 (two) days after the meeting was held.
  7. Reports on changes in the status of users of the Clearing Guarantee Institution referred to in item 1 letter f of this rule must be submitted to Bapepam, within 2 (two) days after the changes occur.
  8. Reports on sanctions imposed by the Clearing Guarantee Institution on its users and reports on special conditions, referred to in item 1 letters g and h of this rule must be submitted to Bapepam no later than the next work day.